

"Express Mail" mailing label number EV400233814US

PTO/SB/01 (6-95)

Approved for use through: 10/31/98 OMB 0651-0032

Type a plus sign (+) inside this box ☐

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing	Attorney Docket Number	U 0132 OS/CRA
	First Named Inventor	BROWN, David W.
	COMPLETE IF KNOWN	
	Application Number	10/700,167
	Filing Date	11/03/2003
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:
My residence, post office address, and citizenship are as stated below next to my name.
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COATINGS FOR METAL CONTAINERS, METALWORKING LUBRICANT COMPOSITIONS, COMPOSITIONS FOR ELECTROPLATING AND ELECTROWINNING, LATEX COMPOSITIONS AND PROCESSES THEREFOR

(Title of the invention)

the specification of which

☒ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 11/03/2003 as United States Application Number or PCT International

Application Number 10/700,167 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
60/423,118	11/01/2002	
60/424,249	11/06/2002	
60/430,485	12/03/2002	
60/434,477	12/18/2002	

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN
AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS, P.O. BOX
1450, ALEXANDRIA, VA 22313-1450, ON 03-25-04

(DATE OF DEPOSIT)

Rose A. Stowe Rose A. Stowe
(SIGNATURE)

March 25, 2004

(DATE OF SIGNATURE)

Type a plus sign (+) inside this box → ☐

U 0132 OS/CRA

DECLARATION**Page 2**

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365© of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name Customer Number or label

OR

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
John E. Drach	32,891		
Steven J. Trzaska	36,296		
Aaron R. Ettelman	42,516		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number or label **23657** OR ☒ Fill in correspondence address below

Name	Aaron R. Ettelman				
Address					
Address					
City		State		Zip	
Country		Telephone	215-628-1413	Fax	215-628-1345

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name	David	Middle Initial	W.	Family Name	Brown	Suffix e.g. Jr.	
------------	-------	----------------	----	-------------	-------	-----------------	--

Inventor's Signature		Date	12/2/03
----------------------	---	------	---------

Residence: City	Ambler	State	PA	Country	USA	Citizenship	USA
-----------------	--------	-------	----	---------	-----	-------------	-----

Post Office Address	424 Highland Avenue
---------------------	---------------------

Post Office Address	
---------------------	--

City	Ambler	State	PA	Zip	19002	Country	USA	Applicant Authority	
------	--------	-------	----	-----	-------	---------	-----	---------------------	--

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

DECLARATION										ADDITIONAL INVENTOR(S) Supplemental Sheet						
Name of Additional Joint Inventor, if any:								<input type="checkbox"/> A petition has been filed for this unsigned inventor								
Given Name	Kenneth			Middle Initial			Family Name	Breindel			Suffix e.g. Jr.					
Inventor's Signature									Date	12/2/03						
Residence: City		Lansdale			State		PA		Country		USA		Citizenship		USA	
Post Office Address		328 Abbey Lane														
Post Office Address																
City	Lansdale			State	PA		Zip	19446		Country		USA		Applicant Authority		
Name of Additional Joint Inventor, if any:								<input type="checkbox"/> A petition has been filed for this unsigned inventor								
Given Name	Michael			Middle Initial	S.		Family Name	Wiggins			Suffix e.g. Jr.					
Inventor's Signature									Date	12/9/03						
Residence: City		Lansdale			State		PA		Country		USA		Citizenship		USA	
Post Office Address		2405 Hillock Court														
Post Office Address																
City	Lansdale			State	PA		Zip	19446		Country		USA		Applicant Authority		
Name of Additional Joint Inventor, if any:								<input type="checkbox"/> A petition has been filed for this unsigned inventor								
Given Name	Ronald			Middle Initial	W.		Family Name	Broadbent			Suffix e.g. Jr.					
Inventor's Signature									Date	12/09/03						
Residence: City		Horsham			State		PA		Country		USA		Citizenship		USA	
Post Office Address		737 Jarrett Road														
Post Office Address																
City	Horsham			State	PA		Zip	19044		Country		USA		Applicant Authority		
Name of Additional Joint Inventor, if any:								<input type="checkbox"/> A petition has been filed for this unsigned inventor								
Given Name	Shailesh			Middle Initial			Family Name	Shah			Suffix e.g. Jr.					
Inventor's Signature									Date	12/15/03						
Residence: City		Dresher			State		PA		Country		USA		Citizenship		USA	
Post Office Address		1704 St. Georges Road														
Post Office Address																
City	Dresher			State	PA		Zip	19025		Country				Applicant Authority		
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto																